

Proposed sPHENIX Bylaws

- Report and draft from the sPHENIX Bylaws Committee:
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 - Sasha Milov
 - Rosi Reid
 - Gunther Roland
 - Bill Zajc

Design Philosophy

- Provide a structure that is
 - Based on known existence proofs
 - Simple
 - Recognizes present-day realities
 - Stable (but modifiable)
- And which emphasizes
 - Scientific goals
 - Fairness
 - Collegiality

Contents

Bylaws of the sPHENIX Collaboration

I. Collaboration Membership

1. Institutions

Institutions (universities or laboratories) shall be admitted to the Collaboration by the Institutional Board (IB) upon recommendation of the Spokesperson. Each institution is responsible for its list of members and for designating a contact person who will receive and disseminate collaboration information within that institution. In addition, each institution will ensure that its members participate in experimental operations and service work as determined by the Institutional Board.

2. Members

Scientists, engineers and students making a significant contribution to the sPHENIX collaboration are eligible to be members of the Collaboration. Members in good standing are those individuals who are associated with an sPHENIX institution and who have contributed significantly to the sPHENIX experiment. All individual members should actively participate in the scientific and technical work of the Collaboration. It is expected that individual members will participate in all collaboration activities in a collegial manner, respecting the integrity of their sPHENIX colleagues and the diversity within the Collaboration. All individual members are expected to abide by the sPHENIX Bylaws and other established policies.

Individual members or institutions whose actions appear to be contrary to these expectations will be contacted by the Spokesperson who will address the relevant issues, in consultation with the Institutional Board member of the individual, in an attempt to arrive at an appropriate resolution. In those cases where the Spokesperson is unable to achieve a satisfactory resolution of the issues, the Spokesperson shall consult with the Institutional Board. The Institutional Board shall consider the issues, may consult the affected individual(s), and may take actions as needed up to and including removal of the individual(s) from the collaboration.

II. Institutional Board

1. Function

The Institutional Board shall deal with general issues that concern the Collaboration. Examples include the organization and the governance of the Collaboration, adoption of bylaws and amendments thereto, admission of new institutions to the collaboration, and publication policies. In addition, the Institutional Board is responsible for election of the Spokesperson and for approval of Deputy Spokesperson appointments. Two or more members of the Executive Council shall be elected by the Institutional Board every year.

2. Membership

Membership shall consist of one sPHENIX member from each collaborating institution who shall represent the collaborators from that institution. Each institution shall select its representative. Substitution of representatives at meetings shall be allowed.

3. Meetings

Meetings of the Institutional Board shall be held at least twice per year, normally in conjunction with Collaboration meetings. The meetings shall be open and chaired by the Spokesperson(s) or by their designated representative.

Consideration of proposed changes to these bylaws and the adoption of a list of nominees for the position of Spokesperson and for members of the Executive Council shall take place at scheduled meetings of the Institutional Board. Notice of at least four weeks shall be given indicating the time and place of the meeting and the nature of the issues to be considered. The draft agenda for the meeting and any associated documentation should be made available to IB members at least three weeks before the meeting so that members have the opportunity to add items to the agenda. The final agenda shall clearly state those issues requiring votes. Votes on admitting new member institutions, amending these bylaws, or modifying the Collaboration's publication policies must be announced two weeks ahead of time, as must details of how the voting will take place.

The Spokesperson shall see that minutes of meetings are taken and published within two weeks of the meeting date. The Spokesperson may appoint an Institutional Board Secretary to record and circulate the minutes and to distribute announcements of IB meetings.

4. Voting

The Institutional Board shall make decisions based on a simple majority of voting members. Proxy voting shall be allowed provided that the Spokesperson and/or IB Secretary is notified in advance of the meeting. Electronic voting on issues and candidates presented for a vote shall be allowed. Scheduled meetings of the Institutional Board shall always allow remote participation with votes cast by IB members or proxies.

III. Executive Council

1. Function

The Executive Council shall establish scientific priorities for the experiment. It shall review and advise on recommendations and concerns of the Spokesperson(s) and/or sPHENIX Management regarding all issues of major importance to the Collaboration. The Executive Council may appoint review committees and task forces to provide advice on technical, scientific and technological decisions, as needed.

2. Membership

The Executive Council shall have 12 or more sPHENIX members. The following members of sPHENIX Management shall be members of the Executive Council: Spokesperson(s), Deputy Spokesperson(s), and Project Director.

Two or more members shall be appointed by the Spokesperson(s) and shall serve at their discretion. Appointments by the Spokesperson(s) shall be approved by the IB.

Four or more members shall be elected by the Institutional Board.

Two or more members, at least one of who should be a graduate student, shall be elected by the junior members of the collaboration. Junior members comprise all graduate students and those with no more than six years post-Ph.D. experience. Candidates for these positions can be either self-nominated or nominated by other juniors after a call for nominations by the current junior members of the Executive Council. The election will be conducted by a committee that is appointed by the current junior members of Council. (WAZ: do we need this sentence?)

All elected members of the Executive Council shall serve for a period of two years.

3. Meetings

Meetings of the Executive Council shall be held at least once every two months and shall be chaired by the Spokesperson(s), or by their designated representative. The Project Director shall report on the technical progress and financial state of the sPHENIX project at each meeting of the Executive Council.

Minutes of the Executive Council shall be made available to the collaboration.

IV. Spokesperson(s)

A. Function

The Spokesperson (or Co-spokespersons) functions as the scientific leader of the Collaboration. The Spokesperson will be the representative of the Collaboration in scientific, technical, and managerial issues involving the design and construction of the detector and operation of the experiment. The Spokesperson is responsible for the publication of scientific results in a timely and responsible fashion.

The Spokesperson may appoint review committees and task forces to provide advice on technical, scientific and managerial decisions, as needed.

B. Selection of candidates

The Spokesperson shall be elected by the Institutional Board for a three-year term.

Candidates for Spokesperson may be nominated by any member of the collaboration. The Institutional Board will establish an *ad hoc* nominating committee at least 12 weeks before the election of the Spokesperson. The committee will actively seek candidates and solicit nominations. The nominating committee will present the list of candidates to the collaboration at least 4 weeks before the election. Further nominations may be made by any individual member of the Collaboration until the closing date for nominations that will be two weeks before the election. Both single-person tickets for Spokesperson and paired-tickets for Co-Spokespersons are allowed in the same election, but the same individual may not be a candidate on more than one ticket. The nominating committee will prepare and oversee the voting process when the election takes place.

C. Voting

Voting for Spokesperson will be carried out by a secret ballot. A minimum of 75% of Institutional Board members must vote in the Spokesperson's election for a valid vote. A candidate for Spokesperson or a paired-ticket for Co-Spokespersons can be elected only if the ticket receives more than 50% of all votes cast, including abstentions. If no candidate obtains this absolute majority, runoff rounds will be conducted for the top vote-getters until one candidate obtains more than 50% of the votes cast, not counting abstentions.

V. Deputy Spokesperson

One or more Deputy Spokespersons shall be proposed by the Spokesperson and shall be approved by the Institutional Board and Executive Council.

VI. Physics Working Groups

1. Formation of Physics Working Groups

Physics Working Groups and their Conveners and Co-conveners shall be proposed by the Spokesperson(s) and approved by the Executive Council. Termination or significant restructuring of any Physics Working Group shall be proposed by the Spokesperson(s) and approved by the Executive Council.

2. Function

The Physics Working Groups shall develop, certify, and prepare for presentation and publication all official sPHENIX physics results.

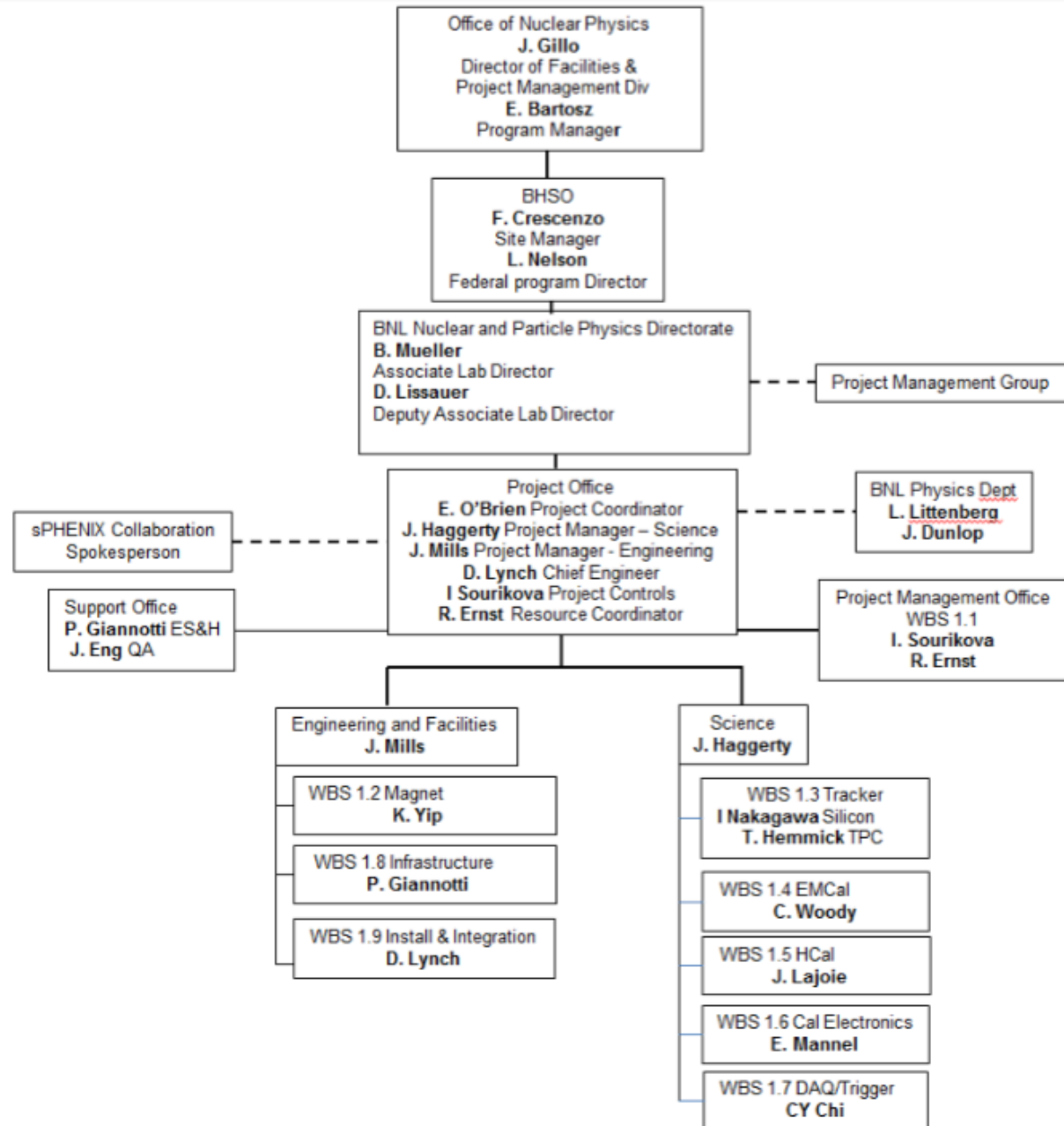
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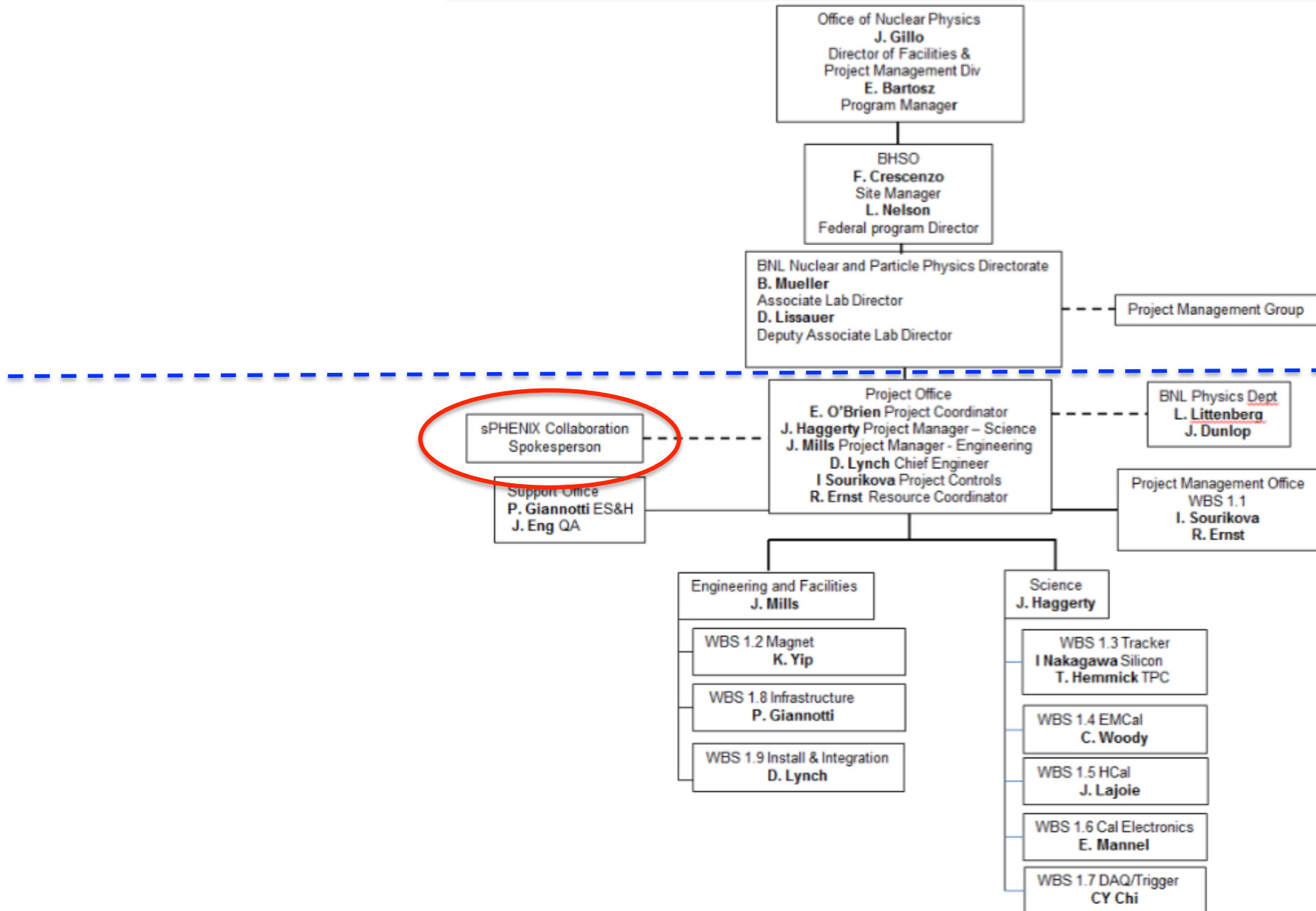
VII. Adoption and Revisions

For future reference)

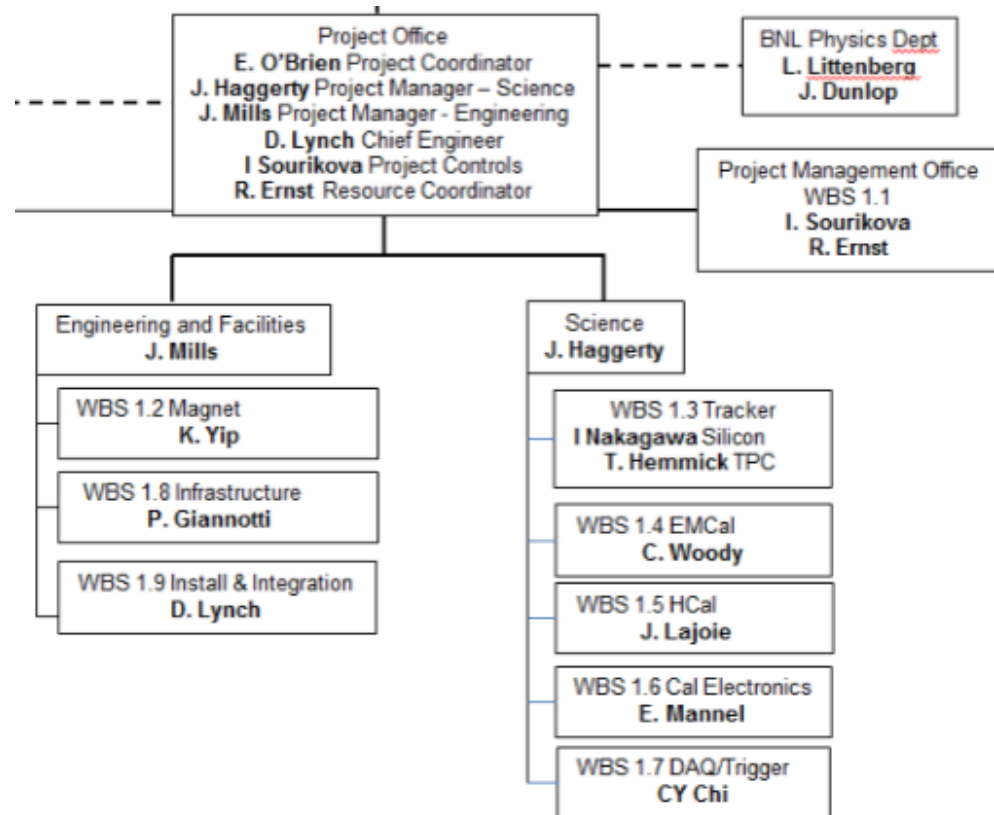
Existing Project Structure



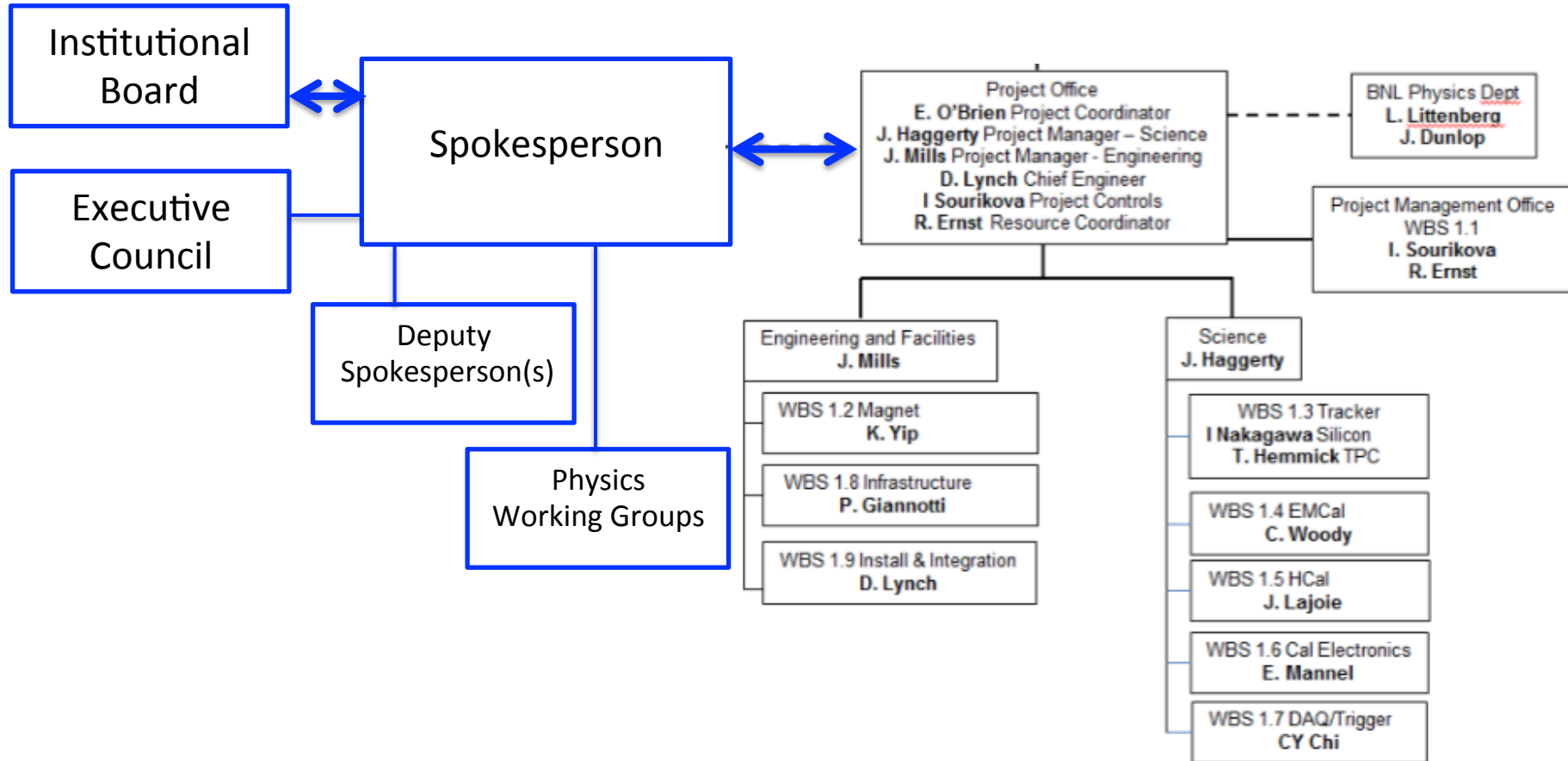
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sPHENIX Bylaws Proposal



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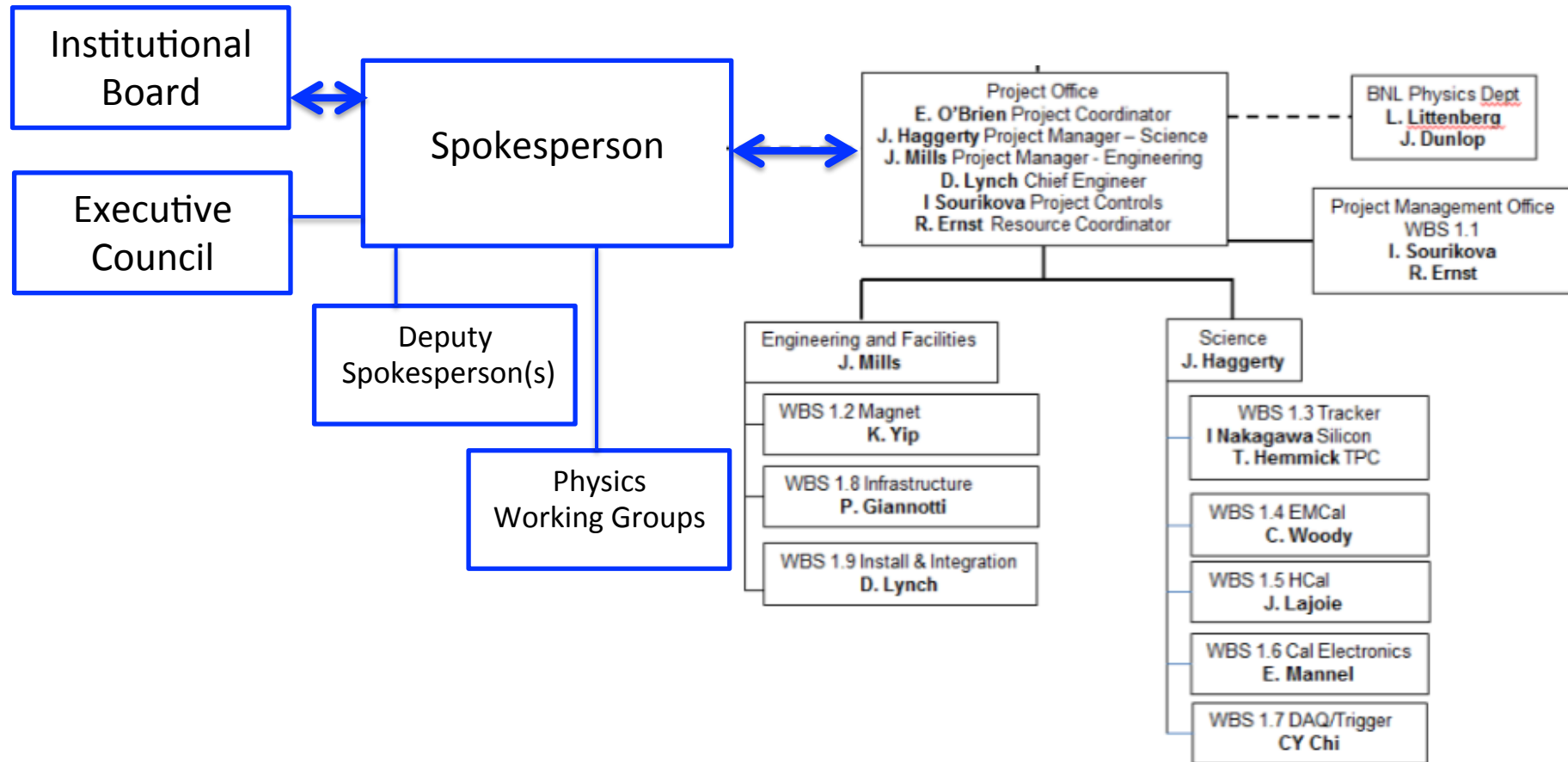
Executive Council (Cont'd)

2. Membership

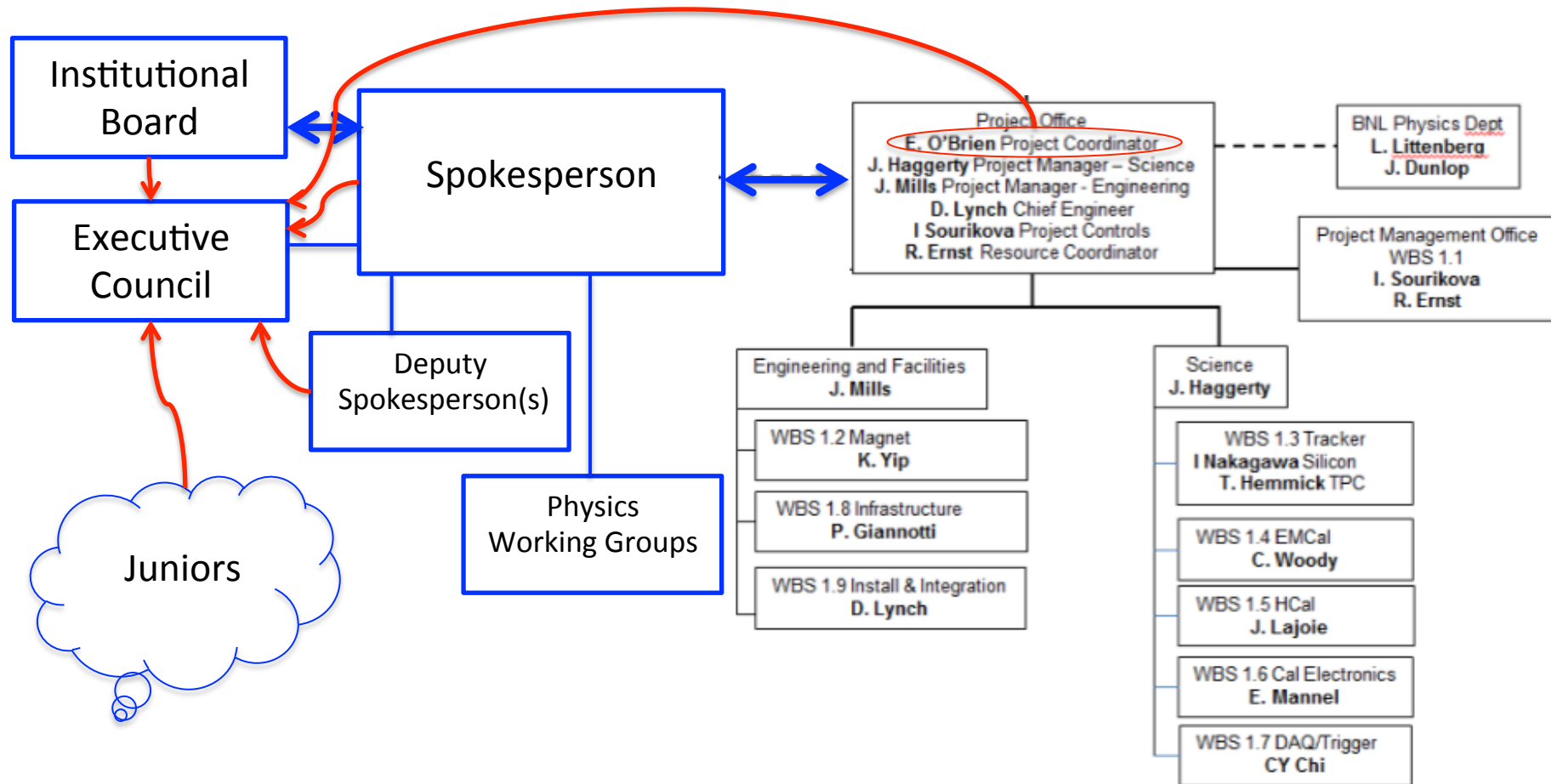
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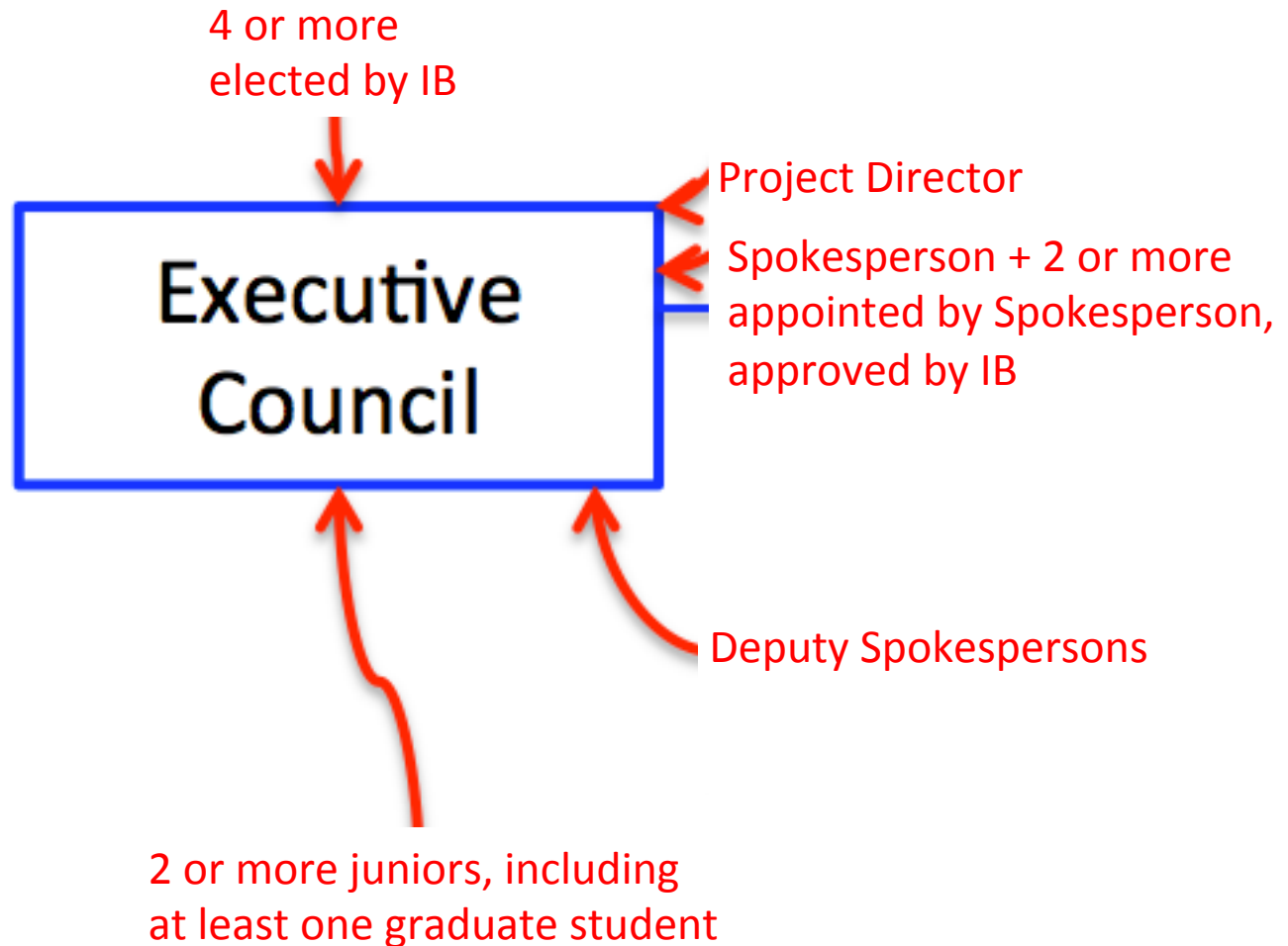
Executive Council Composition



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